IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number:

10/771,711

Examiner:

Flood, Michele C

First-Named-Inventor: Jeffrey Young

Group Art Unit:

1655 USP2259A-JEF

Filing Date: Title:

02/03/2004

Atty's Docket No.:

Method of Treating Non-Insulin-Dependent

Diabetes Mellitus and related Complications

Date: February 5, 2008

Petition to Withdraw Holding of Abandonment Under 37 CFR 1.181 (MPEP 711.03(c))

Commissioner for Patents P.O. Box 1450, Alexandria, VA 22313-1450

The practitioner, on behalf of the applicant, respectfully submits the petition to withdraw holding of abandonment under 37 CFR 1.181 (MPEP 711.03(c)).

The reason is: The applicant has timely filed a RCE, Amendment B and Petition for Extension of Time for ONE month and the payment as required by, and within the reply period. The practitioner has not received the Notice of Non-compliant Amendment which was mailed on 06/04/2007.

The office of the practitioner use IP/Case Tracking System, hereafter named 'CTS', to record the correspondences to and from the USPTO and the docketing system is sufficiently reliable. A copy of two CTS screens of the application is enclosed in Attachment A for your reference in which the demonstration of the entries of our case reference number, application number, filing date, date mailed and received of office action, date due and date sent for the office action will usually be entered for any incoming notice are illustrated. As shown in the CTS Screens, our last action for the office action is submission of RCE and Petition of Extension of Time on 05/08/2007 and the Postcard for the submission was received.

In addition, a copy of the records showing the office action received by the practitioner between 1 June 2007 and 31 August 2007 is enclosed to support the petition in Attachment B in which there is no indication of the receipt of the Notice of Non-compliance for this immediate application.

The practitioner also keeps a scanned copy of all the incoming and outgoing communications with every patent cases starting from 16 March 2007. A search under the scanned records does not show the receipt of the Notice of Non-compliance mailed on 06/04/2007. A copy of the screens is provided in Attachment C for your reference.

The practitioner further submits that every incoming letter from the USPTO will be date-stamped on the date received and a copy of the envelope for the Notice of Abandonment (mailed on 01/09/2007 and received on 01/14/2008) for this application is enclosed in Attachment D for your reference. As indicated in the envelope, the reason for abandonment is 'unknown' as to the understandings of the office. Then, the information in the Notice will be entered in the CTS system and a copy will be kept in the server of the practitioner's office. There is no record of the receipt of the Notice of Non-compliant Amendment for this immediate application.

The practitioner has intended to submit a petition to withdraw holding of abandonment based on the non-receipt of the RCE and related documents with the showing the return postcard for this immediate application on January 14, 2008, as indicated in Attachment E. However, a checking of the status of the application through PAIR system has indicated that a Notice of Non-compliance was issued on 06/04/2007. Therefore, the immediate petition is prepared and submitted instead.

Application no.: 10/771,711 Docket no.: USP2359A-JEF

Ref.: Petition to Withdraw Holding of Abandonment

Since the Notice of Non-compliant Amendment was not received, this immediate application should not be abandoned. (In re *Delgar v. Schulyer*; 172 USPQ 513-(D.D.C. 1971) Therefore, the practitioner, on behalf of the applicant, requests to withdraw the holding of abandonment of this immediate application.

No fee is submitted with this immediate petition and it is believed to be correct. However, the Director is hereby authorized to charge the additional required fee(s) or credit any overpayment to Deposit Account Number 502111 in the name of David and Raymond Patent Firm.

Please accept the above documents and grant the petition for this immediate application. Thank you for your assistance.

Respectfully submitted,

Raymond Y. Chan, Reg. No.: 37,484

108 N. Ynez Avenue, Suite 128, Monterey Park, CA 91754.

Tel.: (626) 571-9812/ Fax: (626) 571-9813

Certification of Mailing

I certify that this correspondence is being deposited with the United States Postal Service as first class mail with proper postage affixed in an envelope addressed to: "Commissioner for Patents, P.O. Box 450, Alexandria, VA 22313-1450." on the date below.

Signature: _______ Raymond Y. Chan

Date

02/06/20



Docket No.: USP2259A-JEF

Appl. no.: 10/771,711

Attachment A

ன் U.S. Paten... EN 🔇 💽 🗗 பர44 தந syddaylderaynonddigleddamdbilceteriskledeforms telsformladdi. 1/16/UB ADM Title: Method of Treating Non-Insulin-Dependent Diabetes Melitus (Type II Diabetes) and Po Status: Abandoned Patent Expiration Date: 👚 💠 🔻 🐃 ☐ Expiration Date Adjusted O Design O Plant Client Case: 22259 P Due Date O Provisional
O Utility Ser #: Country: ALL ¥ho Filing Date: 2/3/2004 Client ID: JEF Admin: RLC [] U.S. Patent Case (Record Locked to allow your updates) Patent No: O Foreign Country: 🖟 🔅 ै 🐔 🗂 Date: Record Other Screens Save Contact Forms Help There are 0 Reminder Items for this Case Sr Atty: CHAN Pri Atty: [] [P.Gerse Techhis Statem - Privite Admin Templates (1900) Carron Peninters Help Case No: USP2259AJEF App Serial No: 10/771,711 Date 1ss/Abn: 1/9/2008 -Reminder List for this Case First Filed Location E sunigle O U.S. 神像ないのかれななす。 W 17. W * * * 李 學 李

Attachment A Appl. no.: 10/771,711 Docket No.: USP2259A-JEF 🖺 Office Action (Record Locked to allow your updates) 31.00 Art Unit or Office: Michele Flood Examine: Phone: 571-272-0964 Country: * *Date Mailed_11/8/2007 ** Allowable Final C Date Due 3/8/2007 Date Received 1716/2007 Section 15/8/2007 Notes | ☐ Received *** Case No. [USF2259.31: P SAC - M. Record Save Reminders Forms Help Fider Case kingentrations People Context Reports Current Pentinders Halp * Restriction Req. Advisory Action BEER Office the Princes. Between the factors of Amely is in a consistence of the state of 中下中の事 中の

Attachment B

Docket No.: USP2259A-JEF App. No.: 10/771,711

| App. No.: 10/7 | 71,711 | | Batant Office | Action | | | | |
|--|--|----------|---|--|------------|----------------|--|--|
| CaseNumber | TitleOrMark | | | DateReceived | | LastDateToFile | I-DataSant | PostcardReceived |
| The same of the sa | Pet-Waste-Bag-Dispenser - | Pending. | 8/28/2007 | | 11/28/2007 | | 11/28/2007 | |
| USP3361A- SCPI | Superior Communication Production. | <u> </u> | | Constitution of the contract o | 11/30/2007 | 2/29/2008 | | N |
| USDP3255C- DRSH | Current Overloading Proof Switch Power Supply and its IC | Pending | 8/28/2007 | 8/31/2007 | 11/28/2007 | 2/28/2008 | 12/21/2007 | Υ |
| USP2061C- DRSH | CIS-Elements Decoys Useful as Anti-Tumor Therapeutics | Pending | 8/28/2007 | 8/31/2007 | 11/28/2007 | 2/28/2008 | | N |
| USDP3036T- DRTP | Semiconductor Wafer and Manufacturing Process Thereof | Pending | 8/22/2007 | 8/27/2007 | 11/22/2007 | 2/22/2008 | | N |
| USP2618A-JEF | Composition of Naturla Herb Extract for Treating Cardiovascular Disease and Its Method of Preparation Thereof | Pending | 8/24/2007 | 8/27/2007 | 10/24/2007 | 2/24/2008 | 11/13/2007 | Υ |
| USP2652A-ETL | Rubber Tire to Energy Pyrolysis System and Method Thereof | Allowed | 8/21/2007 | 8/23/2007 | 11/21/2007 | 2/21/2008 | 11/15/2007 | Υ |
| USP2839A-ZPI | Lighter (Item# 98-660, Mirror Lighter) | Pending | 8/16/2007 | 8/20/2007 | 11/16/2007 | 2/16/2008 | 11/8/2007 | Υ |
| USP2400A-NKK | Treatment Bra | Pending | 8/8/2007 | 8/16/2007 | 10/8/2007 | 2/8/2008 | 10/2/2007 | Y |
| CIP2170A-NKR | Human Resource Networking System and Method Thereof | Pending | 8/13/2007 | 8/16/2007 | 10/13/2007 | 2/13/2008 | 12/12/2007 | Υ |
| USP3312A-BIC | Joint Structure for Angular Arm for Lighting | Pending | 8/10/2007 | 8/13/2007 | 11/10/2007 | 2/10/2008 | 11/9/2007 | Y |
| USP2433A-HTI | Mechanical Latch Assembly | Pending | 8/9/2007 | 8/13/2007 | 11/9/2007 | 2/9/2008 | 11/2/2007 | Ϋ́ |
| USP2287C- DRSH | Computing System being able to Quickly Switch between an internal and an external networks and a method thereof | Allowed | 8/8/2007 | 8/13/2007 | 11/8/2007 | 2/8/2008 | THE RESERVE OF THE PARTY OF THE | Control of the contro |
| USP2599C- DRSH | Fuel Cell with Particulates Blocking Device | Allowed | 8/6/2007 | 8/9/2007 | 11/6/2007 | 2/6/2008 | 11/6/2007 | Y |
| USP2647A- GNC | Three Dimensional Positioning Using Feature Matching Thereof | Allowed | 8/6/2007 | 8/9/2007 | 11/6/2007 | 2/6/2008 | 10/30/2007 | Υ |
| USP2987A-FP | Sign Panel Arrangement with 3- Dimensional Illumination Effect | Pending | 8/6/2007 | 8/9/2007 | 11/6/2007 | 2/6/2008 | | N |
| USP2646A-TAI | Foldable Mobile Video Device | Pending | 8/1/2007 | 8/6/2007 | 11/1/2007 | 2/1/2008 | 10/30/2007 | Υ |
| USP2921A-ZPI | Numeral Lighter | Allowed | 7/30/2007 | 8/2/2007 | 10/30/2007 | 1/30/2008 | 10/25/2007 | Υ |
| USDP3587A- WPI | Foldable Frame Structure for Foldable Table | Pending | 7/27/2007 | 7/31/2007 | 10/27/2007 | 1/27/2008 | 11/21/2007 | Y |
| | Portable Mounting Device for Mobile Entertainment Unit | Allowed | 7/26/2007 | 7/30/2007 | 10/26/2007 | 1/26/2008 | 10/25/2007 | Y |
| | Foldable Support for Foldable Furniture | Pending | 7/26/2007 | 7/30/2007 | 8/26/2007 | 1/26/2008 | 8/27/2007 | Υ |
| DRSZ | Pocket Safety Hand-making Electric Power and Rechargeable System and Pocket Size Electric Appliance | Pending | 7/25/2007 | 7/27/2007 | 10/25/2007 | 1/25/2008 | 11/26/2007 | Y |
| JSDP2274A- ALL | Light Source Arrangement | Pending | 7/24/2007 | 7/26/2007 | 8/24/2007 | 1/24/2008 | 8/24/2007 | Υ |
| | Microscopic Precision Construction of Tissue Array Block | Pending | 7/24/2007 | 7/26/2007 | 10/24/2007 | 1/24/2008 | | N |
| JSP2786C- DRSZ | Filter Device | Pending | 7/23/2007 | 7/26/2007 | 10/23/2007 | 1/23/2008 | 10/22/2007 | Υ |
| JSP2919A-LYH | Lavatory Jet Assembly | Pending | 7/23/2007 | 7/25/2007 | 8/23/2007 | 1/23/2008 | 9/24/2007 | Y |
| JSP3349C- | A CONTRACTOR OF THE PROPERTY O | | 7/17/2007 | 7/23/2007 | 9/17/2007 | | 12/14/2007 | **** |
| | | Pending | 7/19/2007 | 7/23/2007 | 9/19/2007 | 1/19/2008 | | N |
| JSP1921A-LEC | The second secon | | 7/18/2007 | | 10/18/2007 | | 10/18/2007 | A-3 |
| | | Pending | 7/11/2007 | 7/23/2007 | 8/11/2007 | 1/11/2008 | 8/9/2007 | Υ |
| ACCORDING THE CONTRACT OF THE | | | *************************************** | | | | J. J. Z. J. J. | |

Attachment B

Docket No.: USP2259A-JEF App. No.: 10/771,711

| App. No.: 10/7 | | | Patent Office | e Action | | | | |
|--|---|-----------|---------------|--------------|------------|----------------|------------|---|
| CaseNumber | TitleOrMark | Status | | DateReceived | | LastDateToFile | | PostcardReceiv |
| | Slide-Dispenser | Pending | 7/20/2007 | | 10/20/2007 | | 10/19/2007 | Territoriale management and management and all the control of the |
| USP3147A- GNC | Interruption Free Navigator | Pending | 7/13/2007 | 7/16/2007 | 8/13/2007 | 1/13/2008 | Anne | <u> </u> |
| USP2697A- SOT2 | Interlock Attaching Strap System | Pending | 7/12/2007 | 7/16/2007 | 10/12/2007 | 1/12/2008 | | N |
| USP3154A- JCCT | Emergency Medical Pill Dispenser | Pending | 7/13/2007 | 7/16/2007 | 9/13/2007 | 1/13/2008 | | N |
| USP2270A-YYL | Method and Herbal Composition for Treatment of Diabetes | Pending | 7/13/2007 | 7/16/2007 | 9/13/2007 | 1/13/2008 | 12/12/2007 | Y |
| CIP2229C- DRSH | Ambulatory Hip Fixation- Traction Splint Set | Allowed | 7/12/2007 | 7/16/2007 | 10/12/2007 | 1/12/2008 | 10/4/2007 | Y |
| USD3328A-ZLL | Lighter | Allowed | 7/10/2007 | 7/13/2007 | 7/25/2007 | 8/25/2007 | 8/24/2007 | Y |
| USP2637A- AMTC | Sanitary Device for Urinal or Toilet Flush System | Pending | 7/9/2007 | 7/13/2007 | 10/9/2007 | 1/9/2008 | 10/3/2007 | Y |
| USP2634A-ALL | Warning Lamp | Pending | 7/10/2007 | 7/13/2007 | 10/10/2007 | 1/10/2008 | 10/2/2007 | Υ |
| USP1628H- ONC | SAM Rating Model | Abandoned | 7/3/2007 | 7/9/2007 | 10/3/2007 | 1/3/2008 | | N |
| | Foldable Pivot Leg Assembly for Banquet Table with Plastic Tabletop | Pending | 7/2/2007 | 7/9/2007 | 8/2/2007 | 1/2/2008 | 7/27/2007 | Υ |
| | Biological process of producing bio-active natural products through a quantum cycle | Pending | 7/5/2007 | 7/9/2007 | 9/5/2007 | 1/5/2008 | | N |
| | Breathable/Waterproof Lining Structure for Footwear & Garment | Issued | 7/6/2007 | 7/9/2007 | 9/6/2007 | 1/6/2008 | 10/3/2007 | Y |
| | E. Coli Virulence Determinants and Methods of Use thereof | Pending | 7/2/2007 | 7/6/2007 | 8/2/2007 | 1/2/2008 | | N |
| | Solar Lighting Arrangement for Outdoor Umbrella | Pending | 6/28/2007 | 7/6/2007 | 7/28/2007 | 12/28/2007 | 7/27/2007 | Y |
| DRSZ | PTC Airflow Heater | Pending | 6/25/2007 | 7/2/2007 | 9/25/2007 | 12/25/2007 | 10/25/2007 | Y |
| | Power Supplying System for Outdoor Umbrella | Pending | 6/25/2007 | 7/2/2007 | 9/25/2007 | 12/25/2007 | 9/25/2007 | Y |
| CONTRACTOR OF THE PROPERTY OF | Sign Unit with Colorful Effect | Pending | 6/25/2007 | 7/2/2007 | 9/25/2007 | 12/25/2007 | | N |
| DRSH | Integral Multi-Stack System of Fuel Cell | Pending | 6/25/2007 | 7/2/2007 | 9/25/2007 | 12/25/2007 | 10/25/2007 | Υ |
| | Poker Dealing Device Incorporated with Digital Recorder System | Pending | 6/22/2007 | 6/26/2007 | 9/22/2007 | 12/22/2007 | 9/24/2007 | Y |
| | LED Sign Figure with Continuous Illumination Effect | Abandoned | 6/20/2007 | 6/26/2007 | 9/20/2007 | 12/20/2007 | | N |
| | Multipurpose Hair Apperal | Pending | 6/22/2007 | 6/26/2007 | 9/22/2007 | 12/22/2007 | 10/15/2007 | Υ |
| the self-manual control of the self-manual contr | | Pending | 6/21/2007 | 6/26/2007 | 9/21/2007 | 12/21/2007 | 9/21/2007 | Υ |
| | Foldable Table with Longitudinal Mid-Support Arrangement | Pending | 6/21/2007 | 6/26/2007 | 8/21/2007 | 12/21/2007 | 9/20/2007 | Y |
|)RSH | Device | Abandoned | 6/19/2007 | 6/22/2007 | 7/19/2007 | 12/19/2007 | | N |
| | Mobile Auto Entertainment Unit | Pending | 6/18/2007 | 6/22/2007 | 9/18/2007 | 12/18/2007 | 9/18/2007 | Y |
| 1 | Illumination Writing Arrangement for Outdoor Umbrella | Pending | 6/18/2007 | 6/20/2007 | 9/18/2007 | 12/18/2007 | 9/18/2007 | Y |
| | Beverage | Pending | 6/15/2007 | 6/19/2007 | 8/15/2007 | 12/15/2007 | | N |
| ССТ | | Pending | 6/14/2007 | 6/19/2007 | 9/14/2007 | 12/14/2007 | 9/13/2007 | Υ |
| RSZ | 7.00 | | 6/13/2007 | 6/19/2007 | 8/13/2007 | 12/13/2007 | | N |
| JSP2061C- (DRSH | CIS-Elements Decoys Useful as Anti-Tumor Therapeutics | Pending | 6/15/2007 | 6/19/2007 | 7/15/2007 | 12/15/2007 | 7/13/2007 | Υ |

Attachment B

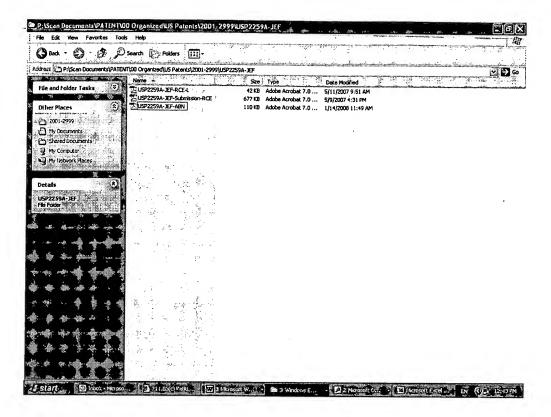
Docket No.: USP2259A-JEF App. No.: 10/771,711

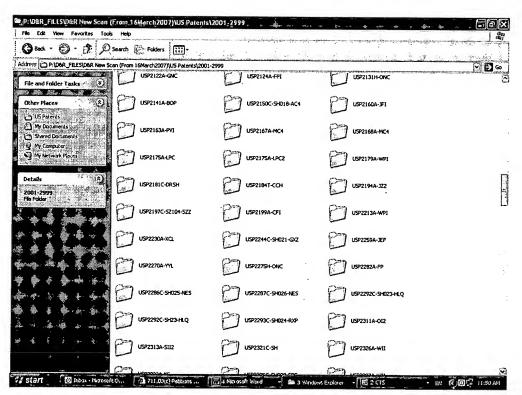
| CaseNumber ** | TitleOrMark | | | Action | | CONTRACTOR AND ADDRESS OF THE ADDRES | Charles Consecutive Contract C | |
|-------------------|---|-----------|--|--------------|-----------|--|--|------------------------|
| | | T | The state of the s | DateReceived | DateDue | LastDateToFile | ■ DateSent | PostcardReceived |
| USP2897A-XTX- | Swival-Lens-Locker-for Spectacle Frames | Abandoned | 6/14/2007 | 6/19/2007 | 9/14/2007 | 12/14/2007 | - | N |
| USP2197C- DRSZ | Diabetic Nutrition Scale for Controlling the Diet and Nutrition of Diabetics | Allowed | 5/31/2007 | 6/15/2007 | 7/31/2007 | 11/30/2007 | 8/31/2007 | Υ |
| USP2949A-IOC | Arcing Detection System and Method for Vehicle | Pending | 6/12/2007 | 6/15/2007 | 9/12/2007 | 12/12/2007 | 8/9/2007 | Y |
| USD3609A-ZLL | Lighter (218A3) | Allowed | 6/11/2007 | 6/15/2007 | 9/11/2007 | 12/11/2007 | 9/7/2007 | Υ |
| USD3608A-ZLL | Lighter (7G) (218A1) | Allowed | 6/11/2007 | 6/15/2007 | 9/11/2007 | 12/11/2007 | 9/6/2007 | A CONTRACTOR OF STREET |
| USDP3680A- TMI | Universal Detachable Shelter Frame | Pending | 6/6/2007 | 6/11/2007 | 7/6/2007 | 12/6/2007 | 7/5/2007 | Υ |
| CIP2895A-ALL | High Intensity Utility Light | Allowed | 6/8/2007 | 6/11/2007 | 9/8/2007 | 12/8/2007 | 9/7/2007 | İΥ |
| USP2422A-FP | LED Sign with Continuous Illumination Effect | Abandoned | 6/4/2007 | 6/7/2007 | 8/4/2007 | 12/4/2007 | | N |
| USP2359C- DRSZ | Accessory Lock Assembly | Allowed | 6/5/2007 | 6/7/2007 | 8/5/2007 | 12/5/2007 | 9/4/2007 | Y |
| USP2841A-JFI | Extendable Table | Pending | 6/5/2007 | 6/7/2007 | 8/5/2007 | 12/5/2007 | 9/5/2007 | Y |
| | Probiotic Therapy of Neonatal Meningitis and Method of Using E. Coli Virulence Determinatns | Pending | 6/1/2007 | 6/4/2007 | 7/1/2007 | 12/1/2007 | 6/13/2007 | Y |
| USP3377C- DRSH | Receiver Device for Karaoke | Pending | 5/31/2007 | 6/4/2007 | 8/31/2007 | 11/30/2007 | 9/28/2007 | Υ |
| | Water Faucet with Dispenser Angle Adjustment Mechanism | Pending | 5/29/2007 | 6/1/2007 | 8/29/2007 | 11/29/2007 | 8/29/2007 | N |
| | Disk Loading Device For Disk Player | Issued | 5/29/2007 | 6/1/2007 | 7/29/2007 | 11/29/2007 | 7/25/2007 | Y |

Attachment C

Appl. no.: 10/771,711

Docket No.: USP2259A-JEF

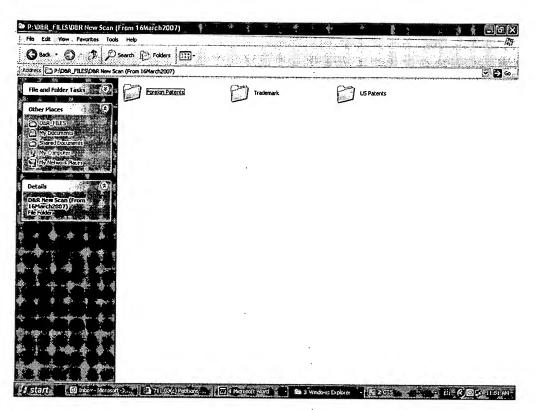




Attachment C

Appl. no.: 10/771,711

Docket No.: USP2259A-JEF



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Alexandria. VA 22313-1450

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Official Business

Penalty For Private Use, \$300

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number:

10/771.711

Examiner:

Flood, Michele

First Named Inventor:

Jeffrey Young

Group Art Unit:

1655

Filing Date:

02/03/2004

Atty's Docket No.:

USP2259A-JEF

Title:

Method of Treating Non-Insulin-Dependent Diabetes Mellitus and related Complications

Date: January 14, 2008

Petition to Withdraw Holding of Abandonment Under 37 CFR 1.181 (MPEP 711.03(c))

Commissioner for Patents

P.O. Box 1450, Alexandria, VA 22313-1450

Facsimile No.: 571-273-8300

The applicant respectfully submits the petition to withdraw holding of abandonment under 37 CFR 1.181 (MPEP 711.03(c)).

The reason is: The applicant has timely filed a RCE, Amendment B and Petition for Extension of Time for ONE month and the payment as required by, and within the reply period.

The following items are enclosed to support the immediate petition:

- 1. A copy of the Postcard Receipt (OIPE Stamp: May 11, 2007) as prima facie Evidence: Total no. of sheet: 1.
- 2. A copy of the previously submitted papers with Certificate of Mailing dated 05/08/2007, including the RCE, Amendment B, Petition for Extension of Time, the checks for payment (\$60.00 for Extension of Time & \$395 for RCE), and the US Postal Service Signature confirmation Receipt (dated: 05/08/2007): Total no. of sheet: 15.
- 3. No check payment is enclosed in this immediate petition. This amount is believed to be correct. However, the Commissioner is hereby authorized to charge any deficiency from or credit any overpayment to the Deposit Account No.: 502111 (David and Raymond Patent Firm). A duplicate copy of this letter is enclosed.

Since the applicant has submitted the reply as required before the due date, this immediate application should not be abandoned. Therefore, the applicant requests to withdraw the holding of abandonment of this immediate application.

Please accept the above documents and grant the petition for this immediate application. Thank you for your assistance.

Respectfully submitted.

Raymond'Y. Chan, Reg. No.: 37,484 108 N. Ynez Avenue, Suite 128,

Monterey Park, CA 91754.

Tel.: (626) 571-9812/ Fax: (626) 571-9813

Certification of Mailing

I certify that this correspondence is being deposited with the United States Postal Service as first class mail with proper postage affixed in an envelope addressed to: "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. on the date below.

Signature:

Person Signing: Raymond Y.

Date: 62/66/2008

USP2259A-JEF

THE FOLLOWING ARE RECEIVED TODAY:

RE.: AMENDMENT B/ たで APPLICATION NUMBER: 10/771.711

TITLE: Method of Treating Non-Insulin-Dependent Digitates Mellius... FILING DATE: 02/03/2004

APPLICANT: Jeffrey Young EXAMINER: Flood, Michele C

GROUP ART UNIT: 1655
AMENDMENT B: No. of Sheets: 11
Petition for Extension of Time: 1 Month

Payment of US**\$60.00** by CHECK # ケタ*40* #ケタ*4* | タイク | レンタイン | しく女 タイン | しく女 タイン | しょう | しょる |

Commissioner c. Patents &TMs.

Check Number: 5941

Discount Taken

Check Date: May 8, 2007

Duplicate

Check Amount: \$395.00

Item to be Paid - Description

FILING FEE-PATENT USP2259A-JEF (RCE)

395.00

Amount Paid

5941

DAVID & RAYMOND

108 N. YNEZ AVE. SUITE 128 MONTEREY PARK, CALIFORNIA 91754 LOS ANGELES NATIONAL BANK MONTEREY PARK, CALIFORNIA 91754

16-3361/1220

DATE

AMOUNT

May 8, 2007

*****\$395.OC

Memo:

USP2259A-JEF/(RCE)

PAY Three Hundred Ninety-Five and 00/100 Dollars

TO THE ORDER

Commissioner of Patents &TMs.

OF: P.O.Box 1450

Alexandria, VA 22313-1450

Duplicate

AUTHORIZED SIGNATURE



USP2259A-JEF

THE FOLLOWING ARE RECEIVED TODAY:

RE.: AMENDMENT B/ CC-

APPLICATION NUMBER: 10/771.711

FILING DATE: 02/03/2004

TITLE: Method of Treating Non-Insulin-Dependent Diabetes Mellius...

APPLICANT: Jeffrey Young EXAMINER: Flood, Michele C GROUP ART UNIT: 1655

AMENDMENT B: No. of Sheets: 11

Petition for Extension of Time: 1 Month

Payment of US\$60.00 by CHECK # 5 9 4 0 # 5 9 4 1 US4- 395 -

5940

Commissioner of Patents &TMs.

FILING FEE-PATENT USP2259A-JEF

Check Number: 5940

Check Date: May 8, 2007

Duplicate

Check Amount: \$60.00

Discount Taken

Amount Paid

60.00

FINAL OA

Item to be Paid - Description

5940

DAVID & RAYMOND

108 N. YNEZ AVE. SUITE 128 MONTEREY PARK, CALIFORNIA 91754 LOS ANGELES NATIONAL BANK MONTEREY PARK, CALIFORNIA 91754

16-3361/1220

DATE

AMOUNT

May 8, 2007

*****\$60.00

Memo:

USP2259A-JEF/AMENDMENT B

 $^{\mathsf{AY}}$ Sixty and 00/100 Dollars

TO THE

Commissioner of Patents &TMs.

P.O.Box 1450

Alexandria, VA 22313-1450

) Duplicate

AUTHORIZED SIGNATURE

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In The United States Patent and Trademark Office

Application Number: 10/771,711

Examiner: Flood, Michele C

Applicant(s): Jeffrey Young

Group Art Unit: 1655

Filing Date:

02/03/2004

Title: Method of Treating Non-Insulin Dependent Diabetes Mellitus and Related Complications

Date: 05/07/2007

AMENDMENT B

Honorable Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Sir:

In response to the Office Action mailed 01/08/2007, kindly amend the above application as follows.

CLAIM AMENDMENTS

Claims 1-6 (Canceled).

Claims 9-25 (Canceled).

Claim 26 (new): A method of treating a living object with non-insulin dependent diabetes, comprising a step of administering to said living object a composition comprising a predetermined amount of berberine as a first active ingredient and a predetermined amount of catalpol as a second active ingredient, in such a manner that when said first and said active ingredients are administered, insulin beta cells of said living object is substantially restored so as to achieve lowering of plasma sugar level.

Claim 27 (new): The method, as recited in claim 26, wherein said composition further comprises an oleanolic acid as a third active ingredient.

Claim 28 (new): The method, as recited in claim 26, wherein said berberine is extracted from one or more natural herbs selected from the group consisting of Berberis, Chelidonium, Stephniz, Coptis, Phellodendron, and Ziziphus.

Claim 29 (new): The method, as recited in claim 28, wherein said catalpol is extracted from one or more natural herbs selected from the group consisting of Rehmannia, Verbascum, Paulownia, Globularia, and Adonis.

Claim 30 (new): The method as recited in claim 27, wherein said oleanolic acid is extracted from one or more natural herbs selected from the group consisting of Olea, Swertia, Astrantia, Lonicera, and Beta.

Claim 31 (new): The method, as recited in claim 30, wherein said berberine is extracted from one or more natural herbs selected from the group consisting of Berberis, Chelidonium, Stephniz, Coptis, Phellodendron, and Ziziphus, and said catalpol is extracted from one or more natural herbs selected from the group consisting of Rehmannia, Verbascum, Paulownia, Globularia and Adonis.

Claim 32 (new): The method, as recited in claim 26, wherein said composition is prepared into a predetermined form for administration that contains 1 to 300 mg/kg/dl of said berberine.

Claim 33 (new): The method, as recited in claim 28, wherein said composition is prepared into a predetermined form for administration that contains 1 to 300 mg/kg/dl of said berberine.

Claim 34 (new): The method, as recited in claim 28, wherein said composition is prepared into a predetermined form for administration that contains 5 to 150 mg/kg/dl of said berberine.

Claim 35 (new): The method, as recited in claim 34, wherein said composition is prepared as a draught in water.

Claim 36 (new): The method, as recited in claim 34, wherein said composition is prepared as a syrup.

Claim 37 (new): The method, as recited in claim 34, wherein said composition is prepared as a cachets.

Claim 38 (new): The method, as recited in claim 34, wherein said composition is prepared as a tablet.

Claim 39 (new): The method, as recited in claim 34, wherein said composition is prepared as a solution.

Claim 40 (new): The method, as recited in claim 26, wherein said composition is prepared into a predetermined form for administration that contains 1 to 300 mg/kg/dl of said active ingredients.

Claim 41 (new): The method, as recited in claim 27, wherein said composition is prepared into a predetermined form for administration that contains 1 to 300 mg/kg/dl of said ingredients.

Claim 42 (new): The method, as recited in claim 29, wherein said composition is prepared into a predetermined form for administration that contains 1 to 300 mg/kg/dl of said ingredients.

Claim 43 (new): The method, as recited in claim 31, wherein said composition is prepared into a predetermined form for administration that contains 1 to 300 mg/kg/dl of said ingredients.

Claim 44 (new): The method, as recited in claim 43, wherein said composition is prepared as a draught in water.

Claim 45 (new): The method, as recited in claim 43, wherein said composition is prepared as a syrup.

Claim 46 (new): The method, as recited in claim 43, wherein said composition is prepared as a cachets.

Claim 47 (original): The method, as recited in claim 43, wherein said composition is prepared as a tablet.

Claim 48 (original): The method, as recited in claim 43, wherein said composition is prepared as a solution.

REMARKS-General

- 1. The newly drafted independent claim 26 incorporates all structural limitations of the original claim 1 and includes further limitations previously brought forth in the disclosure. No new matter has been included. All new claims 26 to 48 are submitted to be of sufficient clarity and detail to enable a person of average skill in the art to make and use the instant invention, so as to be pursuant to 35 USC 112.
- 2. With regard to the rejection of record based on prior art, Applicant will advance arguments to illustrate the manner in which the invention defined by the newly introduced claims is patentably distinguishable from the prior art of record. Reconsideration of the present application is requested.

Response to Rejection of Claims 1-6 and 9-25 under 35USC103

- 3. The Examiner rejected claims 1-6 and 9-25 over Li in view of the various cited arts. Pursuant to 35 U.S.C. 103:
- "(a) A patent may not be obtained thought the invention is **not identically** disclosed or described as set forth in **section 102 of this title**, if the **differences** between the subject matter sought to be patented and the prior art are such that the **subject matter** <u>as a whole</u> would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made."
- 4. In view of 35 U.S.C. 103(a), it is apparent that to be qualified as a prior art under 35USC103(a), the prior art must be cited under 35USC102(a)~(g) but the disclosure of the prior art and the invention are not identical and there are one or more differences between the subject matter sought to be patented and the prior art. In addition, such differences between the subject matter sought to be patented as a whole and the prior art are obvious at the time the invention was made to a person having ordinary skill in the art to which the subject matter pertains.
- 5. In other words, the differences between the subject matter sought to be patent as a whole of the instant invention and Li which is qualified as prior art of the instant invention under 35USC102(b) are obvious in view of the various cited art at the

time the invention was made to a person having ordinary skill in the art to which the subject matter pertains.

- 6. The applicant respectfully submits that in order to determine whether the differences between the subject matters sought to be patent as a whole of the instant invention and the primary prior art, Li, are obvious in view of the supplemental cited arts, Song, Jiang, Wang, Chen, Hsu, Takahashi, Grayer-Berkmeijer, Yoshikawa, Somava, Li et al, and/or Prasad, we have to identify all the differences between the claims of the instant inventions and Li. The applicant respectfully identifies the differences between the claims of the instant invention and Li as follows:
- (a) Referring to the newly amended claim 26, the composition is used for treating living object with non-insulin dependent diabetes mellitus by restoring insulin beta cells, whereas Li merely teaches a tablet for lowering blood sugar. A mere recitation of providing a blood sugar-lowing tablet does not in any way anticipate or suggest any composition for treating living object with non-insulin dependent diabetes mellitus through restoration of insulin beta cells. Experiments have shown a promising effect of restoring insulin beta cells by administering berberine and catalpol. This is an unexpected result from combining the two active ingredients. Lowering of blood glucose level can be accomplished by many methods such as beta cells stimulation for extra secretion of insulin, or artificial synthesis of insulin. Li and the related cited arts do not in any way teach, suggest or motivate the use of the two claimed active ingredients for lowering blood glucose level through restoration of insulin beta cells in living objects such as mice.
- (b) In the newly amended claim 26, "the composition comprises a berberine as a first active ingredient and a catalpol as a second active ingredient". Li merely teaches the traditional Chinese medicine is selected from astragalus root, Ginseng, figwort, Chinese yam, medlar, radices rehmanniae, cortex lycii radicis, radices puerarrire, rhizome polygonati, scutellaria, Phellodendron rupr, pieplant, fructus schizandrae, honeysuckle, rhizome anemarrhenae, rhizome attractylodis, radices polygonati, officinalis, truckahoe, lily, radices trichosanthis, etc. However, Li fails to teach any composition comprising berberine and catalpol and the combined effect of these ingredients.

The Examiner alleges that Phellodendron and Rehmannia are known to be sources of the first and second active ingredients. The applicant respectfully submits that Phellodendron and Rehmannia contain different kinds of compositions and that the medicine containing Phellodendron and Rehmannia is not equivalent to the composition of the instant invention containing berberine and catalpol. The only suggestion of Chinese medicine in Li is selected from Phellodendron, Rehmannia, etc.... Besides, Li does not verbally suggest any extraction of berberine and catalpol from Phellodendron and Rehmannia not the step of extracting the berberine and catalpol from Phellodendron and Rehmannia.

- (c) Li fails to teach composition further comprises an oleanolic acid as a third active ingredient as claimed in the newly amended claim 27 in addition to what is claimed in claim 26 as a whole. Accordingly, a mere recitation of providing honeysuckle does not anticipate or suggest any composition containing oleanolic acid. In other words, Li does not disclose what kinds of composition can be extracted from Phellodendron, Rehmannia, and honeysuckle to obtain berberine, catalpol, and oleanolic acid and how the Phellodendron, Rehmannia, and honeysuckle uses for treating living object with non-insulin dependent diabetes mellitus. Moreover, Li and the cited arts do not teach, suggest, or motivate the use of Phellodendron, Rehmannia and Lonicera to lower blood glucose level *by restoration of insulin beta cells* in a living object.
- (d) Li fails to teach the berberine is extracted from one or more natural herbs selected from the group consisting of Berberis, Chelidonium, Stephniz, Coptis, Phellodendron, and Ziziphus as claimed in claims 28 and 31 in addition to what is claimed in claim 26 as a whole. Li merely suggests the tablet contains Phellodendron without teaching any berberine extracted from Phellodendron. In fact, the instant invention discloses berberine can be extracted from one or more natural herbs of Berberis, Chelidonium, Stephniz, Coptis, Phellodendron, and Ziziphus.
- (e) Li fails to teach the catalpol is extracted from one or more natural herbs selected from the group consisting of Rehmannia, Verbascum, Paulownia, Globularia, and Adonis as claimed in the newly amended claims 29 and 31 in addition to what is claimed in claim 26 as a whole. Li merely suggests the tablet contains Rehmannia without teaching any catalpol extracted from Rehmannia.

- (f) Li fails to teach the oleanolic acid is extracted from one or more natural herbs selected from the group consisting of Olea, Swertia, Astrantia, Lonicera, and Beta as claimed in the newly amended claim 30 in addition to what is claimed in the newly amended claim 26 as a whole. Li merely suggests the tablet contains honeysuckle without teaching any oleanolic acid extracted from honeysuckle.
- (g) Li fails to teach the composition is prepared into a predetermined form for administration that contains 1 to 300 mg/kg/dl of berberine for treating living object with non-insulin dependent diabetes mellitus as claimed in claims 32 and 33 in addition to what is claimed in claim the newly amended claim 26 as a whole. Li is silent regarding any ratio of berberine for administration by living object. The disclosed dose is effective in helping restoration of insulin beta cells.
- (h) Li fails to teach the composition is prepared into a predetermined form for administration that contains 5 to 1500 mg/kg/dl of berberine for treating living object with non-insulin dependent diabetes mellitus as claimed in the newly amended claim 34 in addition to what is claimed in claim 26 as a whole. Li is silent regarding any ratio of berberine for administration by living object.
- (i) Li is silent regarding the composition is prepared as a draught in water for treating living object as claimed in claims 35 and 44 in addition to what is claimed in the newly amended claim 26 as a whole. Li merely teaches a tablet has blood sugar lowering effect.
- (j) Li is silent regarding the composition is prepared as a syrup for treating living object as claimed in the newly amended claims 36 and 45 in addition to what is claimed in the newly amended claim 26 as a whole.
- (k) Li is silent regarding the composition is prepared as a cachets for treating living object as claimed in newly amended claims 37 and 46 in addition to what is claimed in the newly amended claim 26 as a whole.
- (I) Li is silent regarding the composition is prepared as a tablet for treating living object as claimed in the newly amended claims 38 and 47 in addition to what is claimed in the newly amended claim 26 as a whole.

- (m) Li is silent regarding the composition is prepared as a solution for treating living object as claimed in the newly amended claims 39 and 48 in addition to what is claimed in the newly amended claim 26 as a whole.
- (n) Li fails to teach the composition is prepared into a predetermined form for administration that contains 1 to 300 mg/kg/dl of the active ingredients as claimed in claims 40 to 43 in addition to what is claimed in the newly amended claim 26 as a whole.
- 7. Furthermore, the applicant respectfully submits that when applying 35 USC 103, the following tenets of patent law must be adhered to:
 - (a) The claimed invention must be considered as a whole;
- (b) The references must be considered as a whole and must suggest the desirability and thus the obviousness of making the combination;
- (c) The references must be viewed without the benefit of hindsight vision afforded by the claimed invention; and
- (d) Reasonable expectation of success is the standard with which obviousness is determined.
- 8. Also, "The mere fact that a reference could be modified to produce the patented invention would not make the modification obvious unless it is suggested by the prior art." <u>Libbey-Owens-Ford v. BOC Group</u>, 4 USPQ 2d 1097, 1103 (DCNJ 1987).
- 9. Song, Jiang, Wang, Chen, Hsu, Takahashi, Grayer-Berkmeijer, Yoshikawa, Somava, Li et al, and/or Prasad merely teach the individual component without providing a composition including berberine, catalpol, and oleanolic acid for treating living object with non-insulin dependent diabetes mellitus *through restoration of beta cells*. Generally speaking, most Chinese medicines contain two or more ingredients combining with each other to minimize the side effect of each ingredient. For example, berberine itself is toxicity and may cause heart disease and lower the blood pressure. The composition of berberine, catalpol, and oleanolic acid can minimize the side effect of berberine and allows the living object, especially for human being, for administration. In fact, neither Li, Song, Jiang, Wang, Chen, Hsu, Takahashi, Grayer-Berkmeijer, Yoshikawa, Somava, Li et al, nor Prasad suggests a method of treating a

living object for administration containing the above distinctive features (a) to (n) as claimed in the instant invention as well as any combination or possibility of providing a composition including berberine, catalpol, and oleanolic acid for treating living object with non-insulin dependent diabetes mellitus. The unexpected results in the instant invention should therefore be recognized.

- 10. "To prevent the use of hindsight based on the invention to defeat patentability of the invention, this court requires the examiner to show a motivation to combine the references that create the case of obviousness. In other words, the examiner must show reasons that the skilled artisan, confronted with the same problems as the inventor and with no knowledge of the claimed invention, would select the elements from the cited art references for combination in the manner claimed... [T]he suggestion to combine requirement stands as a critical safeguard against hindsight analysis and rote application of the legal test for obviousness..." In re Gorman, 933 F.2d 982, 986, 18 USPQ 2d 1885, 1888 (Fed. Cir. 1991).
- 11. Accordingly, the applicant believes that neither Li, Song, Jiang, Wang, Chen, Hsu, Takahashi, Grayer-Berkmeijer, Yoshikawa, Somava, Li et al, nor Prasad, separately or in combination, suggests or makes any mention whatsoever of the difference subject features (a) to (n) as claimed in the amended claims 26-31 and 32-48 of the instant invention.
- 12. Applicant believes that for all of the foregoing reasons, all of the claims are in condition for allowance and such action is respectfully requested.

The Cited but Non-Applied References

- 13. The cited but not relied upon references have been studied and are greatly appreciated, but are deemed to be less relevant than the relied upon references.
- 14. In view of the above, it is submitted that the claims are in condition for allowance. Reconsideration and withdrawal of the rejection are requested. Allowance of claims 26-31 and 32-48 at an early date is solicited.

15. Should the Examiner believe that anything further is needed in order to place the application in condition for allowance, he is requested to contact the undersigned at the telephone number listed below.

Respectfully submitted,

Raymond Y. Chan Reg. Nr.: 37,484 108 N. Ynez Ave.

Suite 128

Monterey Park, CA 91754 Tel.: 1-626-571-9812

Fax.: 1-626-571-9813

CERTIFICATE OF MAILING

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|------------------------|--|
| Application Number | 10/771,711 |
| Filing Date | 02/03/2004 |
| First Named Inventor | Jeffrey Young |
| Art Unit | 1655 |
| Examiner Name | Flood, Michele C |
| Attorney Docket Number | USP2259A-JEF |

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

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| | ssion required under 37 CFR 1.114 Note: If the RCE is proper, any previously filed unentered amendments and into enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such int(s). | | | | | | |
| а. 🗌 | Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked. | | | | | | |
| i. | Consider the arguments in the Appeal Brief or Reply Brief previously filed on | | | | | | |
| li. | Other | | | | | | |
| b. 🗸 | Enclosed | | | | | | |
| I. [| Amendment/Reply iii. Information Disclosure Statement (IDS) | | | | | | |
| ii. [| Affidavit(s)/ Declaration(s) iv. ✓ Other Return postcard | | | | | | |
| 2. Miscellar | | | | | | | |
| | Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a | | | | | | |
| a | period of months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required) | | | | | | |
| b | Other | | | | | | |
| 3. Fees a. ✓ | The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed. The Director is hereby authorized to charge the following fees, any underpayment of fees, or credit any overpayments, to Deposit Account No. 502111 I have enclosed a duplicate copy of this sheet. | | | | | | |
| i. [| RCE fee required under 37 CFR 1.17(e) | | | | | | |
| ii. | Extension of time fee (37 CFR 1.136 and 1.17) | | | | | | |
| iii. [| Other | | | | | | |
| b. 🗸 | Check in the amount of \$ 455.00enclosed | | | | | | |
| c. | Payment by credit card (Form PTO-2038 enclosed) | | | | | | |
| WARNING: Information | mation on this form may become public. Credit card information should not be included on this form. Provide credit and authorization on PTO-2038. | | | | | | |
| | SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED | | | | | | |
| Signature Name (Print/Type) | Date D5/08/00 | | | | | | |
| rvarne (Fillio Type) | Raymond Y. Chan Registration No. 37,484 | | | | | | |
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| Office on the date sh | his correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope top RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark own below. | | | | | | |
| Signature | 4 Stuy 3 | | | | | | |
| ivanie (Filliotype) | Raymond Y. Chan Date Date | | | | | | |

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Serial No.: 10/771,711 Art Unit: 1655 Filed: 02/03/2004 Examiner: Flood, Michele C Method of Treating Non-Insulin Dependent Diabetes Mellitus and Related Complications For: PETITION FOR EXTENSION OF TIME UNDER 37 C.F.R. 1.136 Honorable Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 Sir.: It is respectfully requested that an Extension of Time for the period indicated below be granted in accordance with the provisions of 37 C.F.R. 1.136 to take the action required in the application identified in caption, as reflected by the papers submitted. First Month \$120.00 (\$60.00)* Second Month \$450.00 (\$225.00)* Third Month \$1,020.00 (\$510.00)* Fourth Month \$1,590.00 (\$795.00)* Fifth Month \$2,160.00 (\$1080.00) *Small Entity TOTAL: \$ 60 X A check in the amount of the above total fee is attached. This amount is believed to be correct; however, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 502111. copy of this letter is attached. \Box Please charge any fee which may be required to Deposit Account No._____ duplicate copy of this letter is attached. Respectfully submitted, By: Raymond Y. Chan Reg. No.: 37,484 108 North Ynez Avenue

CERTIFICATE OF MAILING

Suite 128

Monterey Park, CA 91754

I certify that this correspondence will be deposited with the United States Postal Service as First Class Mail in an envelope addressed to: "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on

the date below.

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Signature of Registered Representative